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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicants:

Eric Siu-Wai Kong

Group

Serial No:

10/777,269

Art Unit # 1732

Filed:

13 February 2004

Examiner:

Title:

INTEGRATED OPTICAL

Unknown

DEVICES AND METHOD OF

FABRICATION THEREOF

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Applicant wishes to make the following art references of record in the above-identified Patent Application pursuant to 37 C.F.R. §§ 1.97 and 1.98, and to the Duty of Disclosure set forth in 37 C.F.R. § 1.56

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject Patent Application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search was made or that no other material information, as defined in 37 C.F.R. § 1,56(b), exists.

Serial No.: 10/777,269

I. The cited Patent references are:

Ref. No.	Patent No.	Issue Date	Inventor(s)
A	5,764,820	6/9/1998	De Dobbelaere et al.
В	6,608,947	8/19/2003	Margalit et al.

This Information Disclosure Statement is being filed more than three months subsequent to the filing date of the subject Patent Application, but before the mailing of a first Office Action.

A Form PTO-1449 and copies of the references are submitted along with this document. It is requested that the Examiner consider the references and make them of record in the above-referenced Patent Application.

Respectfully submitted,

FOR: ROSENBERG, KLEIN & LEE

David I. Klein

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Dated:

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PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute

Sheet 1

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/777,269	-	
Filing Date	13 FEBRUARY 2004		
First Named Inventor	ERIC SIU-WAI KONG		
Art Unit	1732		
Examiner Name	-		
Attorney Docket Number	MR3377-2		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (d known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	^{US-} 5,764,820	6/9/1998	De Dobbelaere et al.	
	В	^{US-} 6,608,947	8/19/2003	Margalit et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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